Application Ser. No. 10/620,323

11/21/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ation of: Becker, et al. In re applic

Docket No. FOXR0004

10/620,323

Art Unit: 3611

Date Filed: 07/15/2003

Examiner:

Boehler, Anne Marie

Title: BICYCLE FORK HAVING LOCK-OUT, BLOW-OFF, AND ADJUSTABLE BLOW-

OFF THRESHOLD

Date: 17 November 2005

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or () (within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last) under 37 CFR 1.97(c) together with either a: (X) Certification under 37 CFR 1.97(e), or a \$220.00 fee under 37 CFR 1.17(p), or () (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs under 37 CFR 1.97(d) together with a: Certification under 37 CFR 1.97(e), and
- ()

 - a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and ()
 - a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1) (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. FOXR0004). A copy of this sheet is enclosed for accounting purposes.

- (X) Applicant(s) submit herewith Form PTO SB/08A and SB/08B -- Information Disclosure Citations together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.
- () A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Sheet 1

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INFORMATION DISCOSURE, STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete il Known					
Application Number	10/620,323				
Filing Date	7/15/2003				
First Named Inventor	Becker et al				
Art Unit	3611				
Examiner Name	Boehler, Anne Marie				
Attorney Docket Number	FOXR0004				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	US- 2005/0104320 A1	05-19-2005	WESLING et al	
	В	^{US-} 3,836,132	09-17-1974	MCNALLY et al	
	С	^{US-} 5,163,538	11-17-1992	DERR et al	·
	D	^{US-} 5,529,152	06-25-1996	HAMILTON et al	
	E	^{US-} 4,145,067	03-20-1979	CERIANI	
	F	^{US-} 6,360,857 B1	03-26-2002	FOX et al	
	G	^{US-} 5,509,674	04-23-1996	BROWNING	
	Н	^{US-} 5,186,481	02-16-1993	TURNER	
	1	^{US-} 6,659,241	12-09-2003	SENDREA	
	J	^{US-} 2005/0173212	08-11-2005	VOGELSANG	
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		FORE	IGN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	AA	DE 837 508	04-28-1952	WOLFRAM		
	AB	EP 0 245 221 A2	04-29-1987	SPECIAL FORKS		
	AC	EP 0 420 610	04-03-1991	BROWNING		
	AD	EP 0 541 891	05-19-1993	CALZOLARI		
	AE	EP 0 834 448	04-18-1998	GONZALEZ		
	AF	DE 44 29 562	02-22-1996	MAIER		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/620,323 Filing Date 7/15/2003 INFORMATION DISCLOSURE First Named Inventor Becker et al STATEMENT BY APPLICANT Art Unit 3611 (Use as many sheets as necessary) **Examiner Name** Boehler, Anne Marie

Sheet	2	of 4		Attorney Docket Number	FOXR0004
· · · · · · · · · · · · · · · · · · ·			U. S. PATEN	DOCUMENTS	<u>.</u>
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Doct	ument Relevant Passages or Relevant
		Number-Kind Code ^{2 (f known)} US-	•		Figures Appear
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		FORE	IGN PATENT DOCL	JMENTS			
Examiner Cite	Cite		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number * "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵	
	AG	GB 2 286 566	08-23-1995	DAIKIN Mfg Co Ltd			
	АН	WO 99/025989	05-27-1999	K2 BIKE Inc.			
	Al	WO 99/014104	03-25-1999	ROCKSHOX Inc.			
	AJ	WO 99/003726	01-28-1999	ROCKSHOX Inc.			
	AK						
·	AL						

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Signature	Considered	
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Substitut	te for form 1449/PTO	·		Complete if Known		
Gubstitut	10 10 10 111 1445/1 10			Application Number	10/620,323	
INF	ORMATION	I DIS	CLOSURE	Filing Date	7/15/2003	
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	(USB as many sine	ets as m	ecessary)	Examiner Name	Boehler, Anne Marie	
Sheet	3	. of	4	Attorney Docket Number	FOXR0004	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
-	·1	Fox document various articles - Motocross Action Dec 1981; Fox Factory 1983; Moto-X Fox 1981; Vanilla Float 1998; Mountain Biking Oct 1998; Cycle World Dec 1981; undated	
	2	Cerian 1 various articles undated	
	3	Mountain Bike Action - various articles dated Feb 1992, Jan 1993, Nov 1991, Jan 1993, Dec 1997 and Feb 1991	
···-	4	Bicycling August 1993	
	5	Mountain Biking October 1996	
	6	Bike Pulse May 2000	
	7	Road Bike August 1993	
	8	Mountain Bike August 2001	
	9	Collection of Rock Shox Documents - various articles dated 1993, 1996-1998, 2000, 2004	
	10	Cannondale Documents - various articles dated 1993 and undated	

Examiner	 Date	
Signature	Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	te for form 1449/PTO				Complete if Known
Gubstitut	10 10 10 111 1443/1 10			Application Number	10/620,323
INFO	DRMATION	DIS	CLOSURE	Filing Date	7/15/2003
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Sheet	4	of	4	Attorney Docket Number	FOXR0004

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11	Marzocchi literature undated	
-	12	Bicycle Guide July 1994	
	13	Various Articles: Maverick American, Paul Turner, Profile	
	14a	Sospensioni January 1993	
	14b	Mountain Tutto Bike September 1992	
	14c	Bici Da Montagna March 1994	
	15	Listing of Forks undated, unidentified, 1987-1991	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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- -Cited Documents;
- -Certification for IDS (2 pages); and
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In re application of: Becker, et al.

Docket No. FOXR0004

Serial No.: 10/620,323

Art Unit:

3611

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Examiner:

Boehler, Anne Marie

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OFF THRESHOLD

Date: 17 November 2005

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Madam:

A certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify

that each item of information contained in the information disclosure () statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart

Application Ser. No. 10/620,323

foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- (x) supplied by the inventor(s)
- () supplied by an individual designated in §1.56(c)
- () in the attorney's file

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer Number 22862